Julius Bär

RESPECTING YOUR RIGHT TO PRIVACY

Information collected in relation to the personnel of our Business Partners

This document provides you with all the information you need to understand how we collect personal data of individuals connected with companies and other businesses we work with in the course of our business ("**business partners**"). These may include financial intermediaries whom we work with to provide our services (such as independent financial advisers and investment platforms), third parties to whom we outsource our services or activities and other suppliers.

What information will we collect?

We collect your personal data where you are an employee of one of our business partners or are otherwise connected with them (such as being an owner and manager) and that data has been provided to us during the process of establishing or managing our relationship with the relevant business partner. This information typically includes your name, job role and contact details. If you work for a business partner that is regulated and operates in the financial sector, we may also collect details of your personal regulatory status. We may also collect personal data about you from third parties (including from public sources) in the course of any due diligence we perform on our business partners, both at the time we initially engage them and on an on-going basis thereafter.

How do we use and disclose your personal data?

We use that information:

- in order to be able to manage the business relationship in question on an on-going basis (including enabling us to maintain records, comply with any applicable legal or regulatory obligations we have and deal with any disputes in relation to such relationship); and
- in order to conduct and keep records of the due diligence we undertake with a view to ensuring that our business partners will be able to meet our expectations in terms of the standards they should meet in the course of the relationship, such as complying with our values as a company as well as all applicable laws and regulations.

How does Julius Baer approach the security of your personal data?

We take data privacy very seriously and you can rest assured that any personal data collected by us will be kept securely. Members of the Julius Baer Group use appropriate administrative, technical and physical measures to protect your personal data securely.

Does Julius Baer ever transfer your personal data to other countries?

Julius Baer is a global business so there is sometimes a need to transfer your personal data outside the UK. In the majority of cases we will only share personal data within the Julius Baer Group although occasionally we may do so with other suppliers who are not members of the Group but support us in our business, most notably the providers of business infrastructure such as "cloud"-based data hosting services. Generally these will be located in the UK, the European Economic Area, Guernsey or Switzerland but may also be in other countries where the data protection laws are considered equivalent to those in the UK. If for any reason we share data with persons in other countries we will put in place arrangements with a view to ensuring your personal data is processed to the same standards.

Who will have access to your personal data?

Your personal data will be accessed and processed only by the personnel at Julius Baer International

Limited and other members of the Julius Baer Group. We will also share your personal data with authorised third parties, such as our other business partners, where necessary so that we can manage our business relationships properly, and with auditors and regulators to comply with applicable law and regulations.

What do you do if you want us to change or delete your personal data?

You have the right to have your personal data that we hold corrected if it is inaccurate. Depending upon the circumstances you may also have the right to object to or restrict the processing of your personal data and to have it deleted. If you wish to exercise any of these rights, please contact data.uk@juliusbaer.com.

How do you access your personal data?

You also have a right to obtain a copy of the personal data we have collected about you. If you wish to obtain a copy, please contact data.uk@juliusbaer.com.

How long do we keep your personal data for?

We will keep your personal data for the duration of any relationship we have with the relevant business partner and for at least six years after that relationship ends.

How do you make a complaint regarding the use of your personal data?

Julius Baer International Limited is the controller of the personal data we collect about you and is regulated by the United Kingdom's Information Commissioner regarding our handling of personal data. If you have a complaint about how we handle your personal data please contact data.uk@juliusbaer.com. You are also entitled to complain to the Commissioner if you are dissatisfied with how we have handled your personal data. The Information Commissioner can be contacted on 0303 123 1113.

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