

Julius Bär

YOUR RIGHT TO PRIVACY - QUESTIONS & ANSWERS

Bank Julius Bär Deutschland AG (hereinafter referred to as the “Bank”), attaches great importance to up-to-date data protection and informs you as an interested party (hereinafter referred to as the “Interested Party”) about the handling of personal data. If you have any further questions or would like to change your preferred communication channels, please contact the responsible customer advisor or the bank’s data protection officer.

1. Who is responsible for the collection, processing and use of personal data?

The responsible body for the collection, processing and use of your personal data is:

Bank Julius Bär Deutschland AG, An der Welle 1, 60322 Frankfurt
Postal address: Bank Julius Bär Deutschland AG, P.O. Box 15 02 52, 60062 Frankfurt
Phone: +49 (0)69 90 743-500, Telefax: +49 (0)69 90 743-570, Email: frankfurt@juliusbauer.com

2. How can I contact the company Data Protection Officer?

You can contact our company Data Protection Officer as follows:

Bank Julius Bär Deutschland AG, Data Protection Officer, An der Welle 1, 60322 Frankfurt
Phone: +49 (0)69 90 743-500, Email: Datenschutz-Frankfurt@juliusbauer.com

3. For what purpose is my data collected, processed and used and what is the legal basis for the collection, processing and use of personal data?

3.1. We collect, process and use your personal data for the purposes listed below and on the legal basis described below:

Type of personal data	Purpose of data collection, processing and use	Legal basis for data collection, processing and use
Personal Information (Name, first name, address, date of birth, sex, marital status, Email address, Phone and Fax number)	Depending on your preferred communication channel(s), we may collect, compile and use your personal data for marketing and advertising purposes insofar as you consented and have not expressly objected to the advertising (e.g. receipt of newsletters via Email, online advertising). With this information we are able to stay in contact with you and provide you with relevant information via your preferred communication channel(s).	Art. 6 para. 1 lit. a, GDPR

3.2. If we intend to further process your personal data for a purpose other than that for which we have collected it in accordance with Section 3.1, we will inform you of this other purpose prior to further processing and provide you with all further relevant information and request renewed consent.

4. From which source are personal data collected?

The Bank collects personal data of interested parties not only from the interested party, but also from public sources (e.g. the commercial register and company databases).

5. To whom are my personal data transmitted?

5.1. We transmit your personal data to companies in the Julius Baer Group in Germany and abroad.

5.2. To the extent that this is required by law, we will disclose your personal data to supervisory authorities, courts or other competent persons.

5.3. The Bank also transmits personal data to its headquarters in Switzerland. In its Decision of 26 July 2000 (2000/518/EC), the European Commission stated that Switzerland ensures an adequate level of protection within the meaning of Article 25(2) of Directive 95/46/EC for personal data transferred from the Community. If the Bank transfers the data of the interested party to other countries that do not belong to the EU or the European Economic Area, it shall ensure compliance with the appropriate level of data protection through contractual provisions.

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6. How long will my personal data be stored?

We will retain your personal data for as long as you wish to stay in contact with us and receive marketing material. If you no longer request further contact or do not wish to receive further marketing materials, we will delete most of your personal information. In order to ensure that you will not be contacted again by us or receive further marketing material, we will keep a very limited amount of personal information indefinitely.

7. What rights do I have as a data subject?

You have the following rights:

- to request information about your personal data stored with us
- to demand the rectification of your personal data, if the data stored with us is not correct
- to request the erasure of your personal data, provided that we are not allowed or obliged to store the data
- to demand the restriction of the processing,
 - if you have contested the accuracy of the data stored with us and we have not yet completed the verification
 - if we are obliged to erase the data, but you object to the erasure or
 - if you have objected to the processing, but it is not yet clear whether our legitimate grounds for processing your data prevail
- object to our processing your data,
 - if we process the data only on the basis of our legitimate interests; in this case we will stop processing, unless our interests prevail or if we have to process the data to exercise our rights
 - with regard to direct mail
- require the transfer of personal data that you have provided to us in a generally available, machine-readable and standard format

8. Can I withdraw my consent?

In the event that you have given your consent to the collection, processing and use of your personal data, you may at any time withdraw your consent with effect for the future in writing or in text form. The withdrawal of consent shall not affect the right of processing carried out on the basis of the consent until withdrawal. This withdrawal must be addressed exclusively to the Data Protection Officer.

9. Can I complain to a supervisory authority?

You can complain to the relevant supervisory authority at any time:

Hessian Data Protection Officer (Hessischer Datenschutzbeauftragter), Gustav-Stresemann-Ring 1, 65189 Wiesbaden
Phone: +49 (0)611 14 08-0, Telefax: +49 (0)611 14 08-900, Email: poststelle@datenschutz.hessen.de

10. Am I obliged to provide certain personal data?

10.1. The provision of your personal data is voluntary.

However, in order to be allowed to contact you, we need your consent to store and process your personal data in compliance with current data protection law, as well as your consent for us to contact you.

10.2. If you do not provide us with the personal data necessary to contact you and do not agree to the storage, processing and do not grant consent to contact you, we cannot contact you.

11. Will there be any changes to the privacy policy?

The Bank may adapt this data protection declaration at any time without prior notice and in compliance with the provisions of data protection law and make it available at: www.juliusbaer.de

On our website www.juliusbaer.de we have summarized further information on the subject of data protection, your rights and the possibility of revocation.

By entering the search term "Information for investors and prospective investors" at the above Internet address, you will be directed to a result display. After clicking on "Germany" you will automatically be taken to an overview page. Here the document "Privacy for interested parties" is available for download.

The current version of the privacy policy applies.

12. How can I contact the bank?

The Bank, and in particular the Data Protection Officer, is available as a contact person for questions regarding the handling of personal data. Please see Section 2 for contact details.