Julius Bär

Minimum proportion threshold information[1]

In addition to the ESG preferences already selected in a Client Investment Profile (Traditional Investment, Responsible Investment or Sustainable Investment as well as EU Taxonomy Alignment), the client can optionally expand these basic preferences with additional ESG preferences. By setting minimum proportions, the client can determine the degree to which the portfolio(s) should consider sustainable investments. Sustainable investments are determined by the Sustainable Finance Disclosure Regulation (SFDR) and/or the EU Taxonomy. Julius Baer will take these minimum proportions into account as part of the suitability assessment after having considered the investment objectives, knowledge and experience, risk profile, investment strategy, leverage (if applicable) and ESG preferences determined in a Client Investment Profile. Please be informed that we can only offer limited or no services for certain constellations in this context.
As the degree to which financial instruments can be considered sustainable investments according to SFDR / EU Taxonomy is evolving, Julius Baer has decided to define three different levels of minimum proportions (Basic, Moderate, High) for each of these types of sustainable investment. The specific minimum proportion thresholds were initially determined based on current ESG data, validated by an internal team of sustainability specialists, and approved within Julius Baer by existing committees.

The current thresholds can be obtained in the table below.

Date	Thresholds of minimum proportions			
		Basic	Moderate	High
From 03.10.23	Sustainable investments according to SFDR	≥10%	≥50%	≥80%
	Sustainable investments according to EU Taxonomy	≥1%	≥3%	≥5%

[1] For more information about the Julius Baer locations where ESG Preferences are considered, please contact your relationship manager or www.juliusbaer.com/sustainable-finance.