Julius Bär

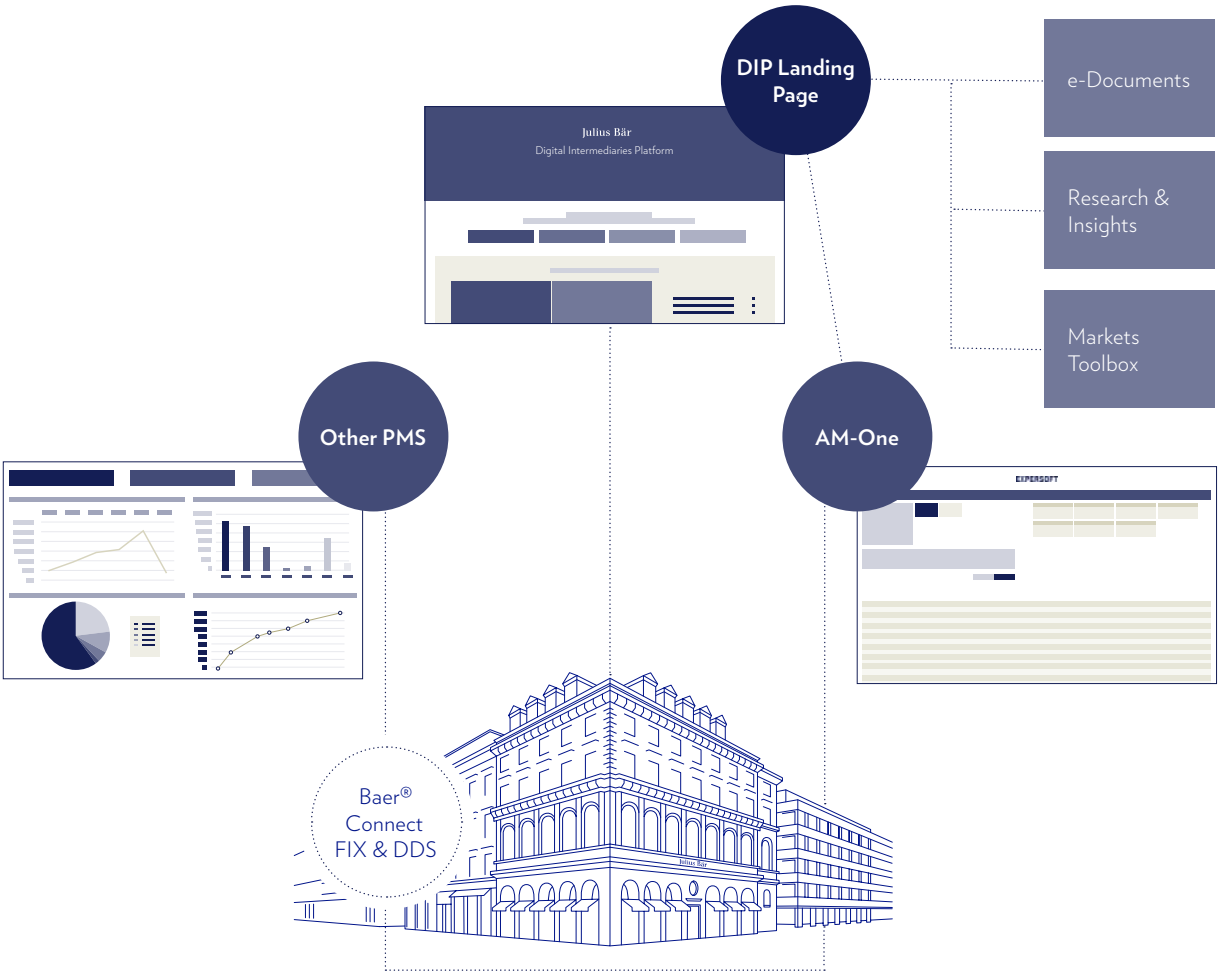
DIGITAL INTERMEDIARIES PLATFORM



Source: Bank Julius Baer & Co. Ltd. (Julius Baer), unless explicitly stated otherwise

Digital Intermediaries Platform

Our intermediaries ecosystem is the gateway to a full range of capabilities – from e-Documents, Markets Toolbox to a third-party portfolio management platform including connectivity solutions. With our open architecture offering, state-of-the-art products and value-adding services, we aim to provide our clients with a best-in-class, premium business experience.



"The Digital Intermediaries Platform is a One-stop digital solution for your business needs."

David Reymond – Head Intermediaries APAC & EMEA

Capabilities – Digital Intermediaries Platform

Baer®Connect Solution

- Daily data feeds for transactions and positions
- FIX for order processing

*FIX – Financial Information Exchange – is a communication protocol for real time exchange of securities transactions

Research and Insights

- Julius Baer information, investment content and financial news

E-Documents

- Statements, advices, corporate actions, correspondence
- Ad-hoc statements (statements & advices)

AM-One Portfolio Management System

- Portfolio management, order placement and reporting

AM-One core functionalities

Portfolio Management
- Asset allocation of individual or consolidated portfolios
- Performance measurement
- Transaction history
- Excel report from dashboard

Reporting
- Periodic and ad-hoc reports (white label)
- Performance reports

Trading
- Straight through processing (STP) for full range of asset classes
- STP for equities, bonds, ETDs, funds in AM-One

Additional features
- End of day price data delivered to AM-One from SIX
- Data download/import

Markets Toolbox

FX and structured products at the click of a mouse.

In todays's market, easy and fast access to foreign exchange and structured product solutions is crucial in maintaining a competitive advantage. Julius Baer Markets Toolbox is a web-based real-time trading platform that supports a host of activities along the lifecycle of a product.

It offers an intelligent product finder, price streaming, block orders, one-click execution, pre- and post-trade documentation and automated end-to-end-processing. Also embedded in the tool is access to trade reporting and lifecycle events.

SPARK

SPARK is a disruptive platform that makes the product selection process simple and convenient. Fuelled by innovative factor-based algorithms, SPARK narrows down millions of product combinations to tailor-made solutions based on your specifications, all in a matter of seconds.

Key features

- Define parameters (markets, sectors, etc.) and immediately receive product suggestions
- Choose from ready-to-use investment styles
- Optimise and/or compare various product combinations

Derivatives Toolbox

Design products matching the client's return expectations and risk appetite.

Knock-Out Daily Accumulators (KODA) are over-the-counter products that allow clients to buy a specified number of reference shares on each scheduled trading day at a pre-determined forward price over an observation period, subject to early termination ('knock-out').

Knock-Out Daily Decumulators (KODD) are over-the-counter products that allow clients to sell a specified number of reference shares on each scheduled trading day at a pre-determined forward price over an observation period, subject to early termination ('knock-out').

Key features

- Low minimum size of 20k CHF/EUR/USD
- Immediate execution and various order types including limit, market on open/close and vwap.
- Multi-custody capability: allocate structured products to clients booked with third-party custodians
- Underlying universe:
  - 700+ equities and indices
  - 60+ currency pairs and precious metals

FX Toolbox

The application offers easy access to streaming prices of around 150 currency pairs/metals for the execution of spot, forward, and swap transactions.

Key features

- Immediate execution and various order types including take profit, stop loss, one-cancel-the-other (oco) and call

FX Option Toolbox

The FX Option Toolbox covers your needs for FX OTC options. The application offers vanilla, single barrier options as well as AC/DC and TARF on 40+ currency pairs.

Key features

- Streaming prices for vanillas and single barriers
- Immediate execution

Baer®Connect – Position & Transaction Reporting

Efficient data exchange

The Position & Transaction Reporting (Julius Baer format) is part of the digital offering at Bank Julius Baer and is a data delivery service catered to clients using Portfolio Management Systems (PMS). It provides you with an overview of your client's positions and transactions in a computer readable format.

The reports provide the following asset class information: loans, options, futures, funds, equities, bonds, forward transations, and cash.

You can retrieve the reports visa two different channels, those with AM-One PMS can download via AM-One and Secure File Transfer Protocol (SFTP) for automated delivery. SFTP service is a network protocol that provides secure file transfer over a reliable data stream.

The files are available from Tuesday to Saturday before 8am CET, showing the data for the previous business day.

Establish Position & Transaction Reporting

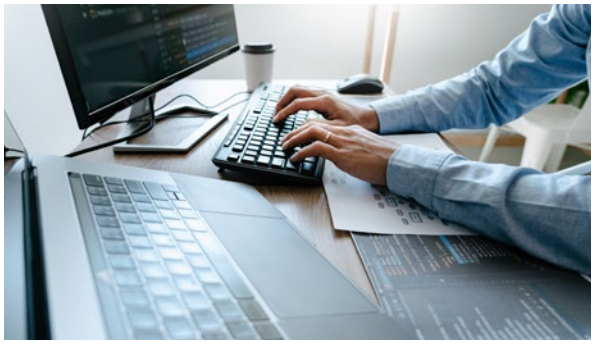
- Sign connectivity agreements
- Establish SFTP/Baer®Connect
- Ensure technical set-up
- Data delivery connection etablished

Learn more about Position & Transaction Reporting

If you are interested in learning more about Position & Transaction Reporting, please get in touch with your relationship manager who will guide you through the service and set-up.

In a nutshell

The service provides you with an overview of all your client's positions and transactions in separate csv files. These csv files come in a proprietary format designed and developed by Bank Julius Baer.



Baer®Connect FIX connectivity

Efficient and fast trade execution

The FIX Gateway allows you to establish a direct connection for trade execution with your Portfolio Management System (PMS) provider and our trading platform. It allows you to execute your orders directly with us in real time and without any manual effort.

The FIX interface enables you to directly place trading orders via your own PMS provider to our Julius Baer trading platform.

Through FIX, you can trade ISIN-based securities orders with both single and block orders depending on your PMS provider.

It seamlessly routes orders into Julius Baer's trading system for efficient and fast execution which allows for quick access to markets and direct connection to exchanges and brokers.

FIX Connectivity is a fully scalable solution that allows for large volumes and numbers of transactions at a time.

Establish FIX Connectivity

- Complete onboarding and sign connectivity agreements
- Onboard your PMS provider
- Ensure technical set-up
- Service connectivity etablished

Learn more about FIX Connectivity

If you are interested in learning more about the FIX protocol and connectivity, please get in touch with your relationship manager who will guide you through the service and set-up.

In a nutshell

"The FIX Protocol is a language comprised of a series of messaging specifications used in trade communications. It connects the global ecosystem of asset managers, banks/brokers, vendors, and regulators by standardizing the communication among participants" (FIX Trading Community, 2022).





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