PRIVACY STATEMENT

As at: August 2019

1. General

1.1 The Julius Baer Foundation (hereinafter referred to as the Foundation) is a non-profit organisation for the purpose of promoting and supporting (i) culture and art in all forms, (ii) science from all faculties and (iii) institutions and events with charitable or social purposes. In order to fulfil these purposes, the Foundation may offer applicants collaboration for various funded projects. However, the Foundation receives a large number of applications, most of which are unsolicited and therefore often do not end up in collaboration. Reference to applicants in the following includes the funding partners respectively.

1.2 The Foundation attaches great importance to current data protection and provides the following information on the handling of the personal data that it collects, processes and uses in connection with its collaboration with applicants.

2. Who is responsible for the collection, processing and use of personal data?

Responsible authority for the collection, processing and use of personal data:

Julius Baer Foundation
Bahnhofstrasse 36
P.O. Box
8010 Zurich
Switzerland
Telephone: +41 (0) 58 888 4422
E-mail: foundation@juliusbaer.com

3. Who is the Foundation’s Data Protection Officer?

Applicants may contact the Foundation’s Data Protection Officer as follows:

Julius Baer Foundation
Data Protection Officer
Bahnhofstrasse 36
P.O. Box
8010 Zurich
Switzerland
E-mail: dataprivacy@juliusbaer.com
4. What is the purpose of collecting, processing and using applicants’ personal data and what is the legal basis for the collection, processing and use of personal data?

4.1 The Foundation collects, processes and uses applicants’ personal data for the following purposes and on the basis of the legal bases mentioned below (the Swiss Data Protection Act [FADP] and/or the European General Data Protection Regulation [GDPR]):

<table>
<thead>
<tr>
<th>Type of personal data</th>
<th>Purpose of data collection, processing and use</th>
<th>Legal basis for data collection, processing and use</th>
</tr>
</thead>
<tbody>
<tr>
<td>Personal data (surname, first name, address, date of birth, e-mail address, telephone and fax numbers, tax ID)</td>
<td>Conclusion, execution and fulfilment of a contract; marketing measures (e.g. mention in the annual report or other publications, on the website, in social media, sending of newsletters via e-mail, invitations to events)</td>
<td>Art. 4, Art. 13 (1)(2)(a) FADP; Art. 6 (1)(a)(b) GDPR</td>
</tr>
<tr>
<td>Bank details, account/custody account number</td>
<td>Conclusion, execution and performance of a contract</td>
<td>Art. 4, Art. 13 (1)(2)(a) FADP; Art. 6 (1)(b) GDPR</td>
</tr>
<tr>
<td>Identification data (e.g. identity card data) and professional data (e.g. curriculum vitae, references)</td>
<td>Verification of the identity and suitability of the respective applicant for collaboration in relation to the respective project(s) and for the conclusion, execution and performance of a contract</td>
<td>Art. 4, Art. 13 (1)(2)(a) FADP; Art. 6 (1)(b) GDPR</td>
</tr>
</tbody>
</table>

4.2 By submitting a grant application or signing a grant agreement, an applicant declares that he or she agrees in principle to the use of his/her personal data.

If the Foundation intends to process the applicant’s personal data for a purpose other than that for which it was collected in accordance with point 4.1, it shall inform the applicant of this other purpose prior to further processing and provide the applicant with all other relevant information.

4.3 If the Foundation receives unsolicited personal data on an applicant, but no collaboration ensues between the Foundation and the applicant, personal data will be used to safeguard the legitimate interests of the Foundation within the meaning of Art. 13 (1) and (2)(e) FADP or Art. 6.1 (f) GDPR for a maximum period of 10 years after receipt of the data from the applicant.
or after rejection of the application. The Foundation has an interest in storing the data for the purpose of internal documentation, for the purpose of re-including applications at a later date if necessary, for the purpose of using the data for studies and surveys, and for the purpose of being able to access past processes in the event of repeated applications.

5. Which source is personal data collected from?

The Foundation collects personal data on applicants not only from the applicants themselves but also from public sources, such as the commercial register and databases of foundations.

6. Who is personal data on applicants transferred to?

6.1 The Foundation transfers personal data to Group companies of the Julius Baer Group in Switzerland and abroad. In addition, it transfers personal data to external service providers insofar as this is necessary for the execution of the contract with applicants. The external service providers use this personal data exclusively for order processing with the applicants and not for other purposes.

6.2 To the extent required by law, the Foundation discloses personal data to supervisory authorities, courts or other competent persons.

7. How long will personal data be stored?

The Foundation stores personal data for the duration listed below:

<table>
<thead>
<tr>
<th>Type of personal data</th>
<th>Storage period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Personal data (surname, first name, address, date of birth,</td>
<td>Contract term and legal storage obligations (max. 10 years after termination of the contractual relationship)</td>
</tr>
<tr>
<td>e-mail address, telephone and fax numbers, tax ID)</td>
<td></td>
</tr>
<tr>
<td>Bank details, account/custody account number</td>
<td>Contract term and legal storage obligations (max. 10 years after termination of the contractual relationship)</td>
</tr>
<tr>
<td>Identification data (e.g. identity card data) and professional data (e.g. curriculum vitae, references)</td>
<td>Contract term and legal storage obligations (max. 10 years after termination of the contractual relationship)</td>
</tr>
</tbody>
</table>
8. What rights do applicants have as data subjects?

An applicant has the following rights:

- to request information about his/her stored personal data;
- to request the correction of his/her personal data if the data stored by the Foundation is incorrect;
- to request that his/her data be deleted if the Foundation no longer needs to store the data or stores it unlawfully;
- to request that the data processed by the Foundation be restricted:
  - if he/she has disputed the accuracy of the data stored by the Foundation and it has not yet completed its assessment,
  - if the Foundation is obliged to delete the data, but the applicant objects to the deletion, or
  - he/she has objected to the processing, but it has not yet been established whether this is outweighed by the Foundation’s reasons for processing the applicant’s data;
- to object to the Foundation processing the data:
  - if the Foundation only processes the data on the basis of its legitimate interests, it will in this case cease the processing unless this is outweighed by its own interests or it needs to process the data in order to exercise its rights, or
  - with regard to direct mailing;
- to request that the applicant’s personal data, which has been provided to the Foundation, be transferred in a generally available, machine-readable and standard format.

9. Can applicants revoke their consent?

If applicants have given their consent to the collection, processing and use of their personal data, they may revoke their consent at any time, with effect for the future, in written or electronic form. This revocation must be addressed exclusively to the Data Protection Officer.

10. Can applicants complain to a supervisory authority?

Applicants may complain to the competent supervisory authority at any time:

Federal Data Protection and Information Commissioner
Feldeggweg 1
3003 Berne
Switzerland
Telephone: +41 (0) 58 462 4395
Fax: +41 (0) 58 465 9996
11. Are applicants obliged to provide certain personal data?

11.1 In order for the Foundation to conclude a contract with an applicant, it requires personal details, identification data and, if applicable, the account details to be used for payment transactions.

11.2 If the applicant does not provide the Foundation with the personal data necessary for the execution of the contract, the Foundation may either not conclude a contract with the applicant or may not execute transactions requested by the applicant after conclusion of the contract.

12. Modification of the Privacy Statement

The Foundation may amend this Privacy Statement at any time in accordance with the provisions of data protection law, without having to inform the applicants of this in advance. The current version of the Privacy Statement applies in each case.

13. How can applicants contact the Foundation?

The Foundation, in particular the Data Protection Officer, is available to answer any questions regarding the handling of personal data. Please refer to point 3 for contact details.